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IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Attorney Docket No.: Poly-9/APP

Applicants: I-Tai LU, Mario JOA-NG

Serial No.: 09/575,884

Filing Date: May 22, 2000

Title: METHODS AND APPARATUS FOR ROUTING IN A MOBILE  
AD HOC NETWORK

Examiner: To be assigned

Group Art Unit: 2734

Assistant Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, D. C. 20231

Sir:

MISSING PARTS TRANSMITTAL

In response to a "Notice to File Missing Parts of Application" (PTO-1533) mailed on August 2, 2000 and setting a time for response to expire on October 2, 2000, that period being extended two months to expire on December 2, 2000, in connection with the above-captioned patent application, applicants enclose the following:


- ☒ Postcard receipt;
- ☒ **Assertion of Small Entity Status -**  
Small Entity Status is hereby claimed;
- ☒ Request for two (2) month extension of time;
- ☒ Executed Declaration and Power of Attorney  
(3 pgs.);
- ☐ Copy of application as filed;
- ☒ Assignment with Recordation Form Cover Sheet  
(in duplicate) to Polytechnic University (6 pgs.);
- ☐ Information Disclosure Statement, modified PTO-1449,  
and (0) cited reference(s);
- ☒ Copy of PTO-1533 (Notice to File Missing Parts)

- issued in connection with this application;
- ☒ A claim to priority (included in declaration);
- ☐ Submission of Priority Document and Certified copy of \_\_\_\_\_ Application No. \_\_\_\_\_, filed \_\_\_\_\_;
- ☒ Our check in the amount of \$ 913.00 to cover the small entity filing fee of \$ 355.00, the two month extension of time fee of \$ 195.00, the additional claim fee of \$ 258.00, the surcharge under 37 CFR 1.16(e) in the amount of \$ 65.00 for late filing of the declaration, and an assignment recordation fee of \$ 40.00; and
- ☒ A verified statement claiming Small Entity Status.

In the event the enclosed check is unacceptable, insufficient or is omitted, kindly charge the additional or entire cost, as appropriate, to the deposit account of **STRAUB & POKOTYLO**, deposit account number 50-1049. To facilitate that charge, a duplicate copy of this letter is enclosed herewith.

Respectfully submitted,

November 22, 2000

  
\_\_\_\_\_  
John C. Pokotylo, Attorney  
Reg. No. 36,242  
Customer No. 26479  
(732) 335-1222

STRAUB & POKOTYLO  
1 Bethany Road  
Suite 83, Building 6  
Hazlet, New Jersey 07730

**CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)**

I hereby certify that this correspondence is being deposited on **November 22, 2000** with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Assistant Commissioner for Patents, **Box Missing Parts**, Washington, D.C. 20231.

  
\_\_\_\_\_  
John C. Pokotylo

\_\_\_\_\_  
36,242  
Reg. No.

## FORMALITIES LETTER



\*OC00000005288919\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/575,884	05/22/2000	I-Tai Lu	Poly-9/APP

Straub & Pokotylo  
1 Bethany Road  
Suite 56 Bldg 4  
Hazlet, NJ 07730

Date Mailed: 08/02/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$504.
  - \$36 for 2 total claims over 20.
  - \$468 for 6 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1324.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/30/2000 JADD01 00000012 09575884  
 01 FC:201 355.00 OP  
 02 FC:202 240.00 OP  
 03 FC:203 18.00 OP  
 04 FC:205 65.00 OP